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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Practice Limited to Federal Agencies

August 29, 2003

**WRITER'S DIRECT NUMBER:**

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**INTERNET ADDRESS:**

VBEASTON@SKGF.COM

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Mail Stop RCE**

Re: U.S. Nonprovisional Patent Application  
Appl. No. 10/043,694; Filed: January 10, 2002  
For: **Power Generation System Having Transient Ride-Through/Load-Leveling Capabilities (as amended)**  
Inventors: Khalizadeh *et al.*  
Our Ref: 2066.0210001

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal (PTO Form PTO/SB/17);
2. Request for Continued Examination (RCE) Transmittal (PTO Form PTO/SB/30);
3. Amendment and Reply Under 37 C.F.R. § 1.111;
4. Copies of September 20, 2002 filing consisting of Date Stamped Postcard, Cover Sheet, Request for Change of Attorney Docket Number, Revocation of Prior Power of Attorney and Appointment of New Attorney of Record, and copy of previously recorded Assignment;
5. Revised Application Data Sheet;
6. Information Disclosure Statement;
7. Form PTO-1449 (12 pages) listing one hundred fifty-three documents;
8. Copies of one hundred fifty-three cited documents;
9. Credit Card Payment form (PTO-2038) in the amount of \$375.00 for RCE filing fee; and
10. One Return Postcard.

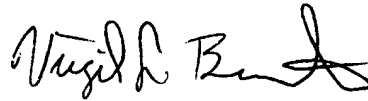
Commissioner for Patents  
August 29, 2003  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

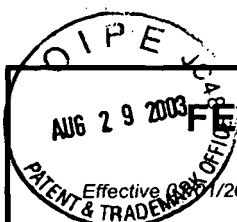
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Virgil L. Beaton  
Attorney for Applicants  
Registration No. 47,415

Enclosures

::ODMA\MHODMA\SKGF\_DC1;172137;1  
SKGF Rev. 2/15/02 dcw; 4/18/03 svb



# **FEE TRANSMITTAL** **for FY 2003**

Effective 8/29/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$375.00)****Complete if Known**

Application Number	10/043,694
Filing Date	January 10, 2002
First Named Inventor	Claude Khalizad h
Examiner Name	P nomar nko, N.
Art Unit	2834
Attorney Docket No.	2066.021001

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit card ☐ Money Order ☒ Other\*\* ☐ None

\*\* Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

☐ Deposit Account  
Deposit Account Number 19-0036

Deposit Account Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any over payments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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1051 130 2051 65 Surcharge - late filing fee or oath

1502 50 2052 25 Surcharge-late provisional filing fee or cover sheet

1053 130 1053 130 Non-English specification

1812 2,520 1812 2,520 For filing a request for *ex parte* reexamination

1804 920\* 1804 920\* Requesting publication of SIR prior to Examiner action

1805 1,840\* 1805 1,840\* Requesting publication of SIR after Examiner action

1251 110 2251 55 Extension for reply within first month

1252 410 2252 205 Extension for reply within second month

1253 930 2253 465 Extension for reply within third month

1254 1,450 2254 725 Extension for reply within fourth month

1255 1,970 2255 985 Extension for reply within fifth month

1401 320 2401 160 Notice of Appeal

1402 320 2402 160 Filing a brief in support of an appeal

1403 280 2403 140 Request for oral hearing

1451 1,510 1451 1,510 Petition to institute a public use proceeding

1452 110 2452 55 Petition to revive - unavoidable

1453 1,300 2453 650 Petition to revive - unintentional

1501 1,300 2501 650 Utility issue fee (or reissue)

1502 470 2502 235 Design issue fee

1503 630 2503 315 Plant issue fee

1460 130 1460 130 Petitions to the Commissioner

1807 50 1807 50 Processing fee under 37 CFR 1.17(q)

1806 180 1806 180 Submission of Information Disclosure Stmt

8021 40 8021 40 Recording each patent assignment per property (times number of properties)

1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))

1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))

1801 750 2801 375 Request for Continued Examination (RCE) 375.00

1802 900 1802 900 Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)375.00**FEE CALCULATION****1. BASIC FILING FEE****Large Entity Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1105	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) \_\_\_\_\_

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra	Fee from below	Fee Paid
Total Claims _____ - 20** = _____ X _____ = _____			
Indep. Claims _____ - 3** = _____ X _____ = _____			
Multiple Dependent _____ = _____			

**Large Entity Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \_\_\_\_\_

\*\* or number previously paid, if greater; For Reissue, see above

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)

Virgil L. Beaston

Registration No.  
(Attorney/Agent)

47,415

Telephone

202-371-2600

Signature

Virgil L. Beaston

Date

8/29/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

SKGF Rev. 08/26/03 svtSKGF\_DC1:172030.1

Applicants: Khalizadeh *et al.*

Conf. No. 7707

Art Unit: 2838

Application No.: 10/043,694

Examiner: (to be assigned)

Filed: January 10, 2002

Docket: 2066.0210001

For: **Transient Ride-Through or Load Leveling Power Distribution System**

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter;
2. Request for Change of Attorney Docket Number;
3. Revocation of Prior Power of Attorney and Appointment of New Attorneys of Record;
4. A copy of the Assignment; and
5. One return postcard.

**PLEASE DATE STAMP AND RETURN TO OUR COURIER**

(Capstone Ref. No. 0402)



**COPY**



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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas  
\*Practice limited to  
Federal Agencies

September 30, 2002

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**COPY**

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 10/043,694; Filed: January 10, 2002  
For: **Transient Ride-Through or Load Leveling Power Distribution System**  
Inventors: Khalizadeh *et al.*  
Our Reference: 2066.0210001 (Capstone Ref. No. 0402)

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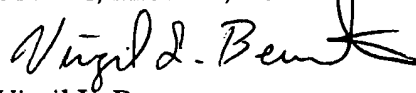
Commissioner for Patents  
September 30, 2002  
Page 2

**COPY**

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Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Virgil L. Beaton  
Attorney for Applicants  
Registration No. 47,415

Enclosures

VLB/sjc  
::ODMAMHODMASKGF\_DC1;60285;1